PART II

Statutory Notifications (S. R. O.)

GOVERNMENT OF PAKISTAN
SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

NOTIFICATION

Islamabad, the 5th March, 2021

S.R.O. 283 (I)/2021.—In exercise of powers conferred by section 10 of the Securities and Exchange Commission of Pakistan Act, 1997 (XLII of 1997) (the Act), the Securities and Exchange Commission of Pakistan (the Commission) subject to such conditions and limitations as it may from time to time impose, hereby delegates the following powers and functions of the Commission to the Commissioners.

I. POWERS AND FUNCTIONS OF THE COMMISSION DELEGATED TO EXECUTIVE DIRECTOR ADJUDICATION DEPARTMENT 1 ADJUDICATION DIVISION

<table>
<thead>
<tr>
<th>S. No.</th>
<th>Relevant sections of the Anti Money Laundering Act (AML Act), 2010</th>
<th>Nature of power/function</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>6A(2)(h)</td>
<td>Power to impose sanctions, including monetary and administrative penalties.</td>
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</tbody>
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(379)

Price: Rs. 5.00

[300(2021)/Ex.Gaz.]
## Relevant Rules of the AML/CFT Sanctions Rules, 2020

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<thead>
<tr>
<th>S. No.</th>
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<td>1.</td>
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<td>2.</td>
<td>4(1)</td>
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<td>3.</td>
<td>4(1)(a)</td>
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<td>4.</td>
<td>4(1)(b)</td>
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<td>5.</td>
<td>4(1)(c)</td>
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<td>6.</td>
<td>4(1)(d)</td>
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<td>7.</td>
<td>4(1)(e)</td>
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<td>8.</td>
<td>4(1)(f)</td>
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<td>9.</td>
<td>4(1)(g)</td>
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<td>10.</td>
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## Powers and Functions of the Commission Delegated to Commissioner Supervision CCD

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[File No. SY.SECP.8.13.]

BILAL RASUL,

Secretary to the Commission.